

The Physics of Galaxies

Observations versus Theory

From the Early Universe to the Present

Part 1

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XX. Heidelberg Physics Graduate Days
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Large groundbased & Hubble Space & Satellites Telescopes

large mirrors & fields of view **high spatial resolution & γ-ray, X-ray, UV-wavelengths**

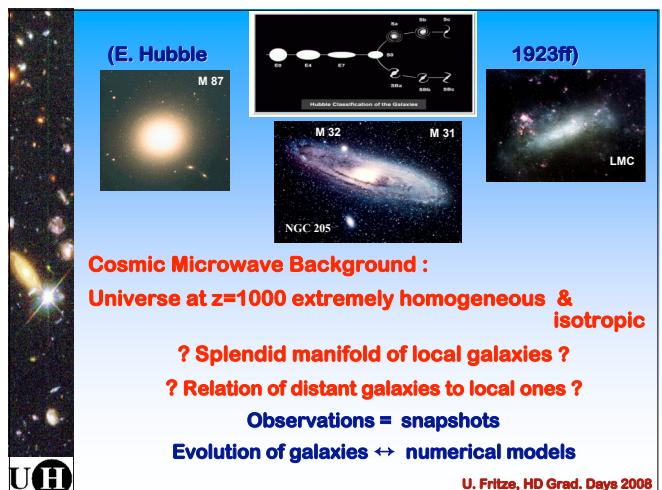
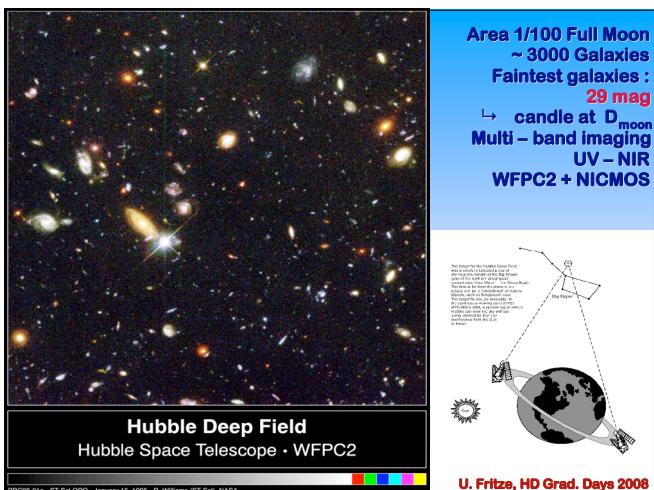
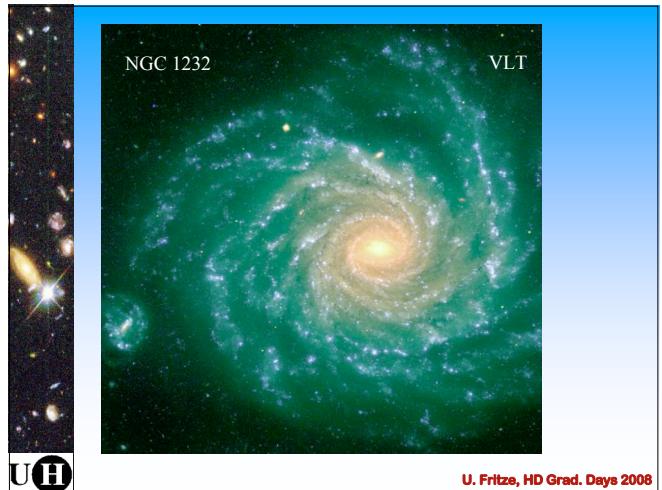
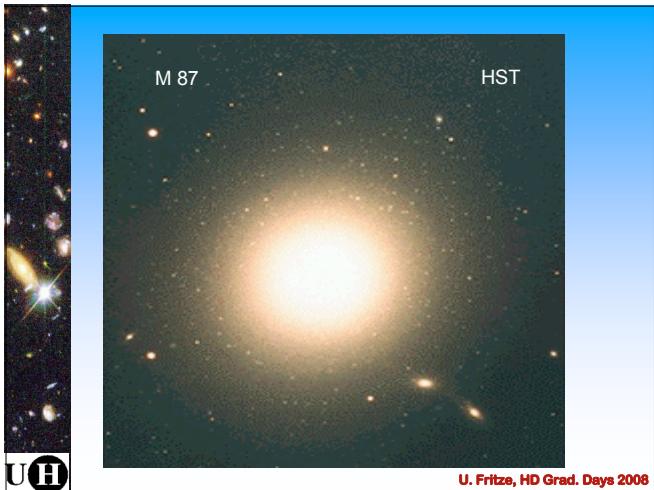
→ nearby galaxies in enormous detail
→ distant galaxies in large numbers
→ explosion in galaxy research

over the full spectral range γ-rays . . . radio

ESO - VLT Chile **HST** **GALEX**

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Program

- Introduction & basics
- Local Galaxies : overview
- Modelling the formation & evolution of galaxies
- Chemical, spectral & dynamical evolution
- The Milky Way and the Local Group
- Resolved stellar populations vs integrated light
- Starbursts & interacting galaxies
- Galaxy transformation in clusters
- Towards the highest redshifts
- Galaxy formation scenarios
- Open question & future perspectives

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Galaxies consist of Stars

& eventually of gas (in several coexisting phases) & dust

The sun is “our” star. The Earth is one out of 8 planets orbiting the sun. Light from the sun travels 8 min. to reach the Earth, 4 h to reach Neptun.



The nearest star is Proxima Centauri, ~4.2 Lyr away.
 $1 \text{ Lyr} = c \cdot \pi \cdot 10^7 \text{ km} = 300.000 \cdot \pi \cdot 10^7 \text{ km}$
 $[\text{km/s}] [\text{s/yr}] = 9 \cdot 10^{12} \text{ km}$

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The Sun

= “our” star, is a gaseous sphere, ~ 4 billion yr old, produces energy by nuclear fusion
 $\text{H} + \text{H} + \text{H} + \text{H} \rightarrow {}^4\text{He} + 2e^+ + 2\nu_e + 26.7 \text{ MeV}$
 has diameter $1.4 \times 10^6 \text{ km}$, mass $1 M_\odot \sim 2 \times 10^{30} \text{ kg}$, mean density 1408 kg/m^3

& chemical composition (mass fractions) :

H	74%
He	24%
C, O, Fe, \	
Ni, Si, S, Mg, /	~2%
Ne, Ca, Cr /	

from Fraunhofer's lines (1814)



Astrophysical “semantics” : metals or metallicity Z := everything heavier than H and He $Z_\odot \sim 0.02$

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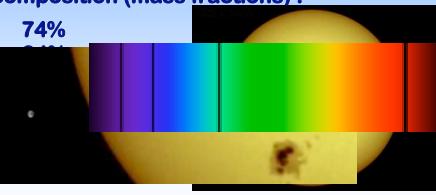
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Galaxies consist of ~ 10 ... 1000 billion stars

& eventually of gas (in several coexisting phases) & dust

Stars are born in cooling and collapsing gas/dust clouds, they live & evolve & die.

Stars are social, they are always born in groups or clusters.

Most clusters disperse before stars become visible after blowing away their natal dust cocoon
 --> field stars

New stars first appear in the NIR, which can penetrate the dust.

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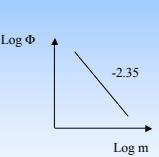
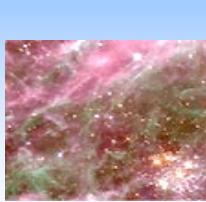
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Stars are born with widely different masses but with a universal mass distribution

→ Stellar Initial Mass Function (Salpeter 1955, Kroupa+ 93,...)

lower mass limit : $m_l \sim 0.04 M_\odot$ hydrogen burning limit, below $0.04 M_\odot$: Jupiters & brown dwarfs

upper mass limit : $m_u \sim 140 M_\odot$? ($1 M_\odot \sim 2 \times 10^{30} \text{ kg}$)

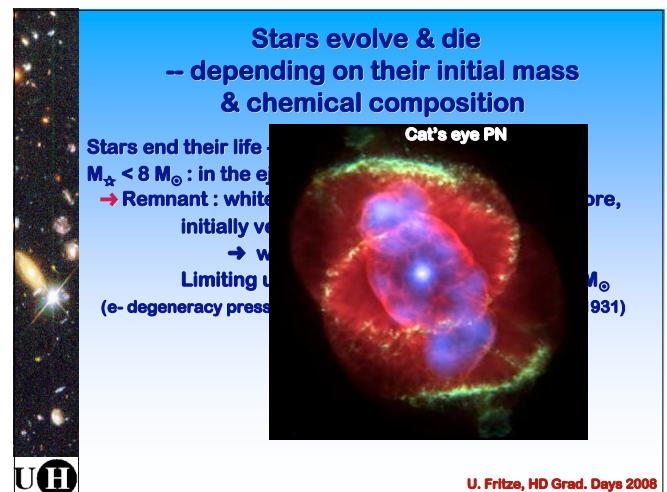
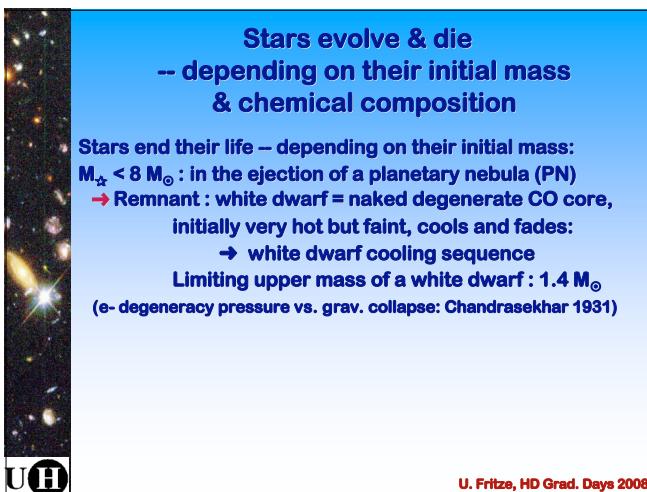
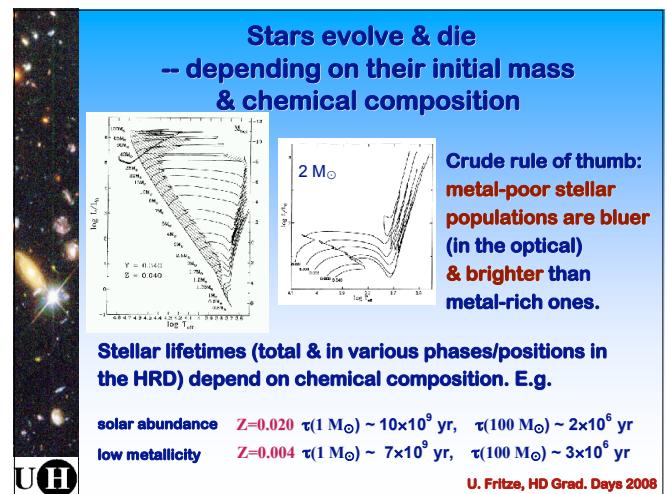
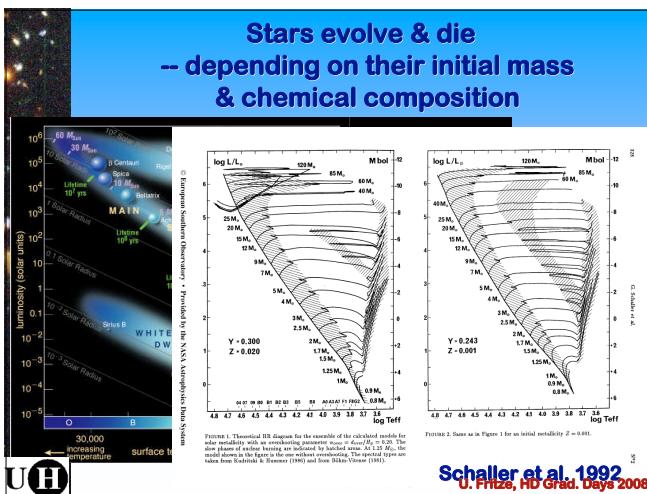
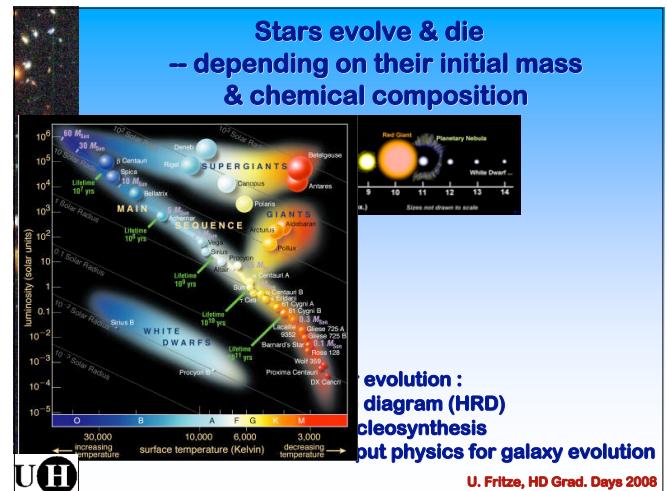
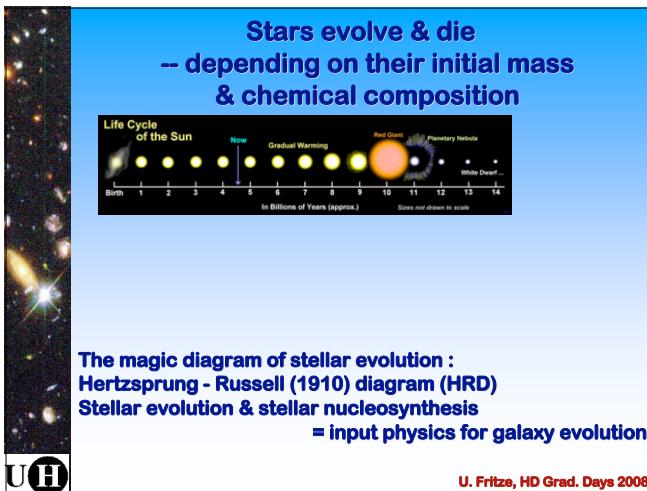




Normalisation : $\int_{m_l}^{m_u} m^{-\alpha} \Phi(m) dm = 1$

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Star-Birth Clouds - M16
PRC94-4b - STScl OPO - November 2, 1995
 J. Hester and P. Scowen (AZ State Univ.), NASA

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Stars evolve & die
-- depending on their initial mass & chemical composition

Stars end their life -- depending on their initial mass:

$M_{\star} \geq 8 M_{\odot}$: in a supernova explosion (SN)
 10^{53} erg $\sim 10^{44}$ J ?
→ Remnant : neutron star or (stellar) black hole



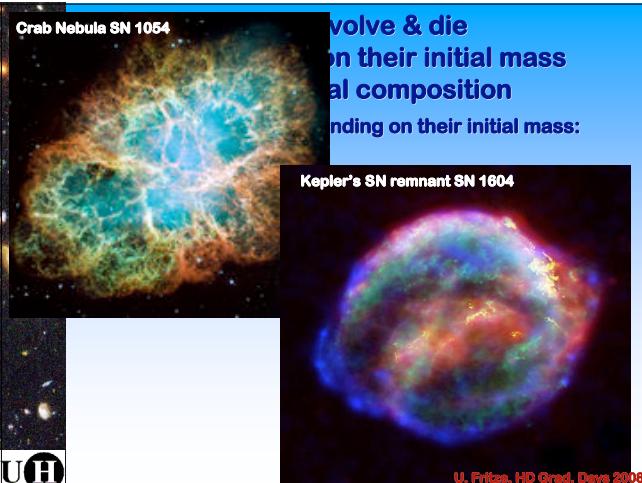
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Crab Nebula SN 1054

evolve & die
on their initial mass
chemical composition
depending on their initial mass:

Kepler's SN remnant SN 1604



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Crab Nebula SN 1054

evolve & die
on their initial mass

SN 1994D in NGC 4526



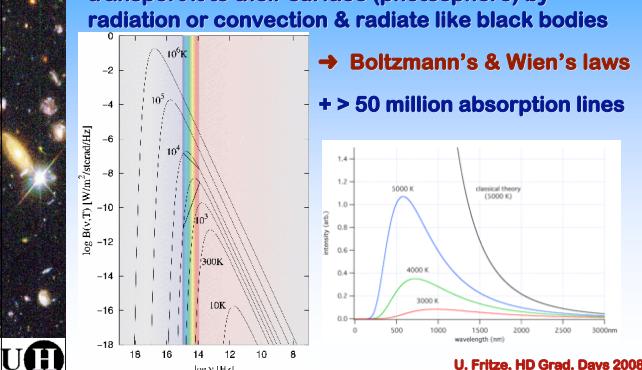
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Stars are gaseous spheres

they produce energy through nuclear fusion, transport it to their surface (photosphere) by radiation or convection & radiate like black bodies

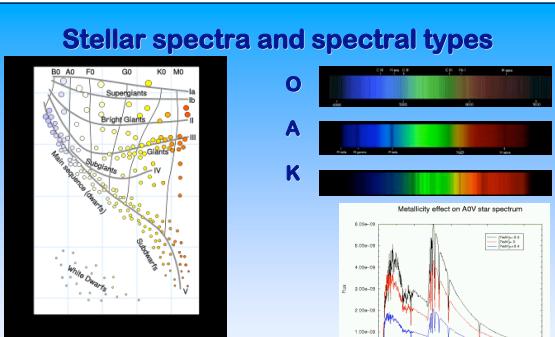
→ Boltzmann's & Wien's laws
+ > 50 million absorption lines



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Stellar spectra and spectral types



Stellar spectra depend on
→ stellar mass & evolutionary stage
(i.e. on temperature & luminosity)
spectral classes : O B A F G K M,
luminosity classes : V (main sequence), III (giants), I (supergiants)
→ on chemical composition: absorption lines

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Stellar nucleosynthesis

Big bang formed H, He, traces of Li.
All other elements have been produced by nuclear fusion in the cores of stars.

Stars lose mass (=gas) in

- ★ **stellar winds** in late evolutionary phases
heavily dependent on stellar mass and metallicity $\dot{M}_{\text{wind}} \sim Z^4$
- ★ **PNe**
winds & PNe : H, He, C, N, O
- ★ **SNe**
SNe type II : O, Ne, Mg, Si, S, Ar, Ca, Ti
(= α -elements)

in/after explosion: rapid & slow neutron capture
r- & s-process elements (Ti, Cr, Fe, Ni; Sr, Ba, Pb)

SN type Ia : Ni \rightarrow Fe

New stars are then formed out of more enriched gas ...
→ cosmic cycle.

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Brief History of Galaxy Research

- 1923 Edwin Hubble, Mt. Wilson Obs. : Cepheids in M31 = Andromeda nebula → M31 = external galaxy

Henrietta Swan Leavitt 1912: period luminosity for Cepheid variables.

Cepheid with P=3 d has 800 × luminosity of the sun.
Cepheid with P=30 d has 10.000 × luminosity of the sun

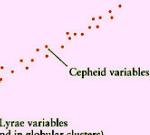
Absolute luminosities of Milky Way
Cepheids from parallax distances

$$M_v - M_{v\odot} = -2.5 \log L_v / L_{v\odot} [\text{mag}]$$

$$L_{v\odot} = 3.8 \times 10^{33} \text{ erg/s}, M_{v\odot} = 4.83 \text{ mag}$$

$$M_v = -2.81 \log(P) - (1.43 \pm 0.1)$$

$$5 \log_{10} \frac{D}{\text{kpc}} = m - M - 5,$$


Luminosity (L_\odot)

Period (days) →

RR Lyrae variables (found in globular clusters)

M_v : absolute magnitude
 m_v : apparent magnitude
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Cepheid Variable in M100 HST-WFPC2

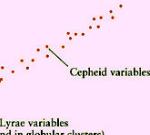
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Luminosity (L_\odot)

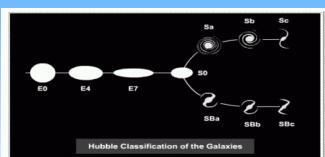
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Brief History of Galaxy Research

- 1923 - 1925: Hubble sequence of galaxies

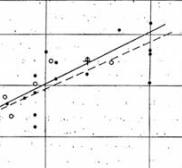


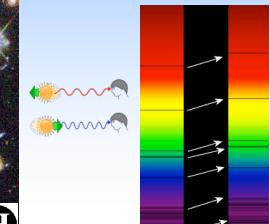
Hubble Classification of the Galaxies

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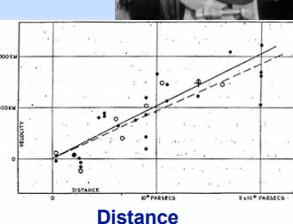
Brief History of Galaxy Research

- 1929 E. Hubble, Mt. Palomar 5m : galaxy redshifts



Recession velocity

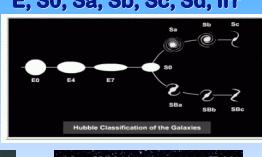


Distance

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Local Galaxies

Normal (= big) galaxies : Hubble sequence E, S0, Sa, Sb, Sc, Sd, Irr



(E. Hubble 1923ff)






Dwarf galaxies : dE, dSph, dI

Low surface brightness galaxies : E, disk, dwarf

Starburst galaxies, interacting galaxies, radio gals, AGN, ULIRGs, SCUBA gals, Lyman Break Gals, ...

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Observations at different wavelengths
→ complementary information

X-rays : hot gas, binary stars

UV : young, hot, high-mass stars
→ star formation (=SF)

opt. : solar-type, intermed. mass stars

NIR : old, cool, low mass stars
→ galaxy mass

mid- & FIR : dust - therm. emission

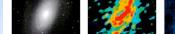
sub-mm : cold, molecular gas
→ 1. order reservoir for SF

Radio : neutral hydrogen gas
→ 2. order reservoir for SF





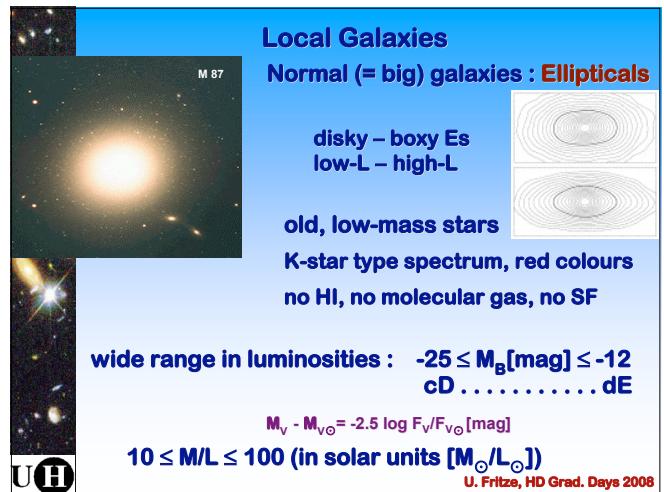
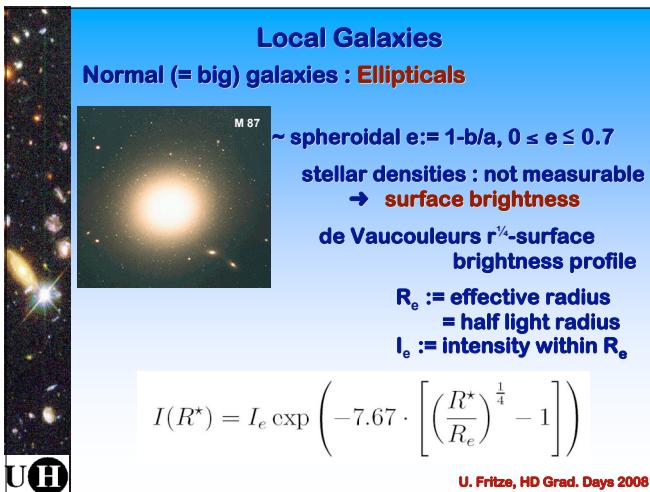
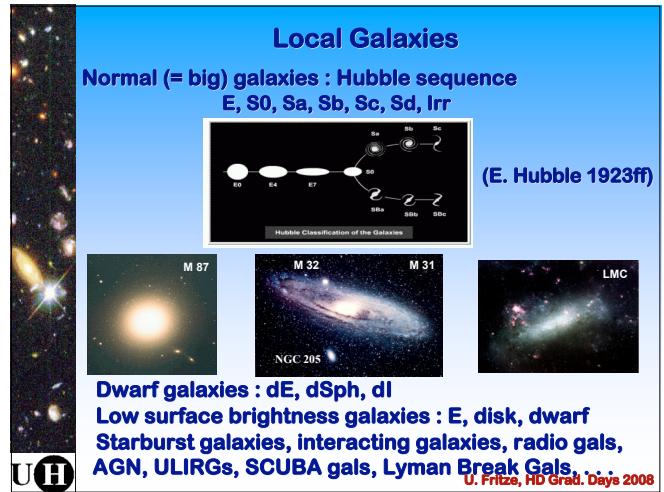
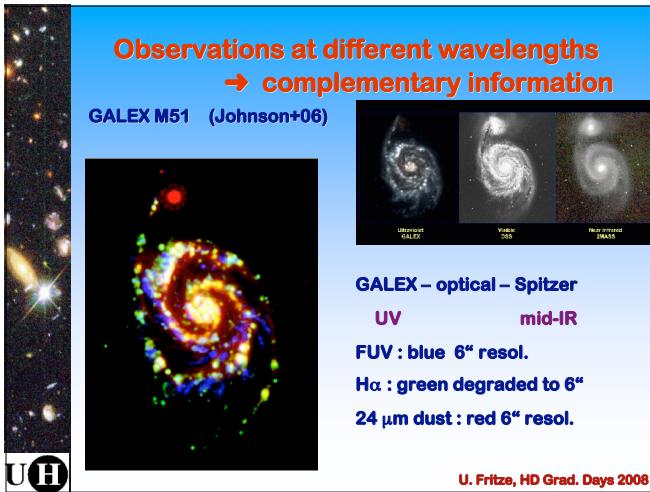
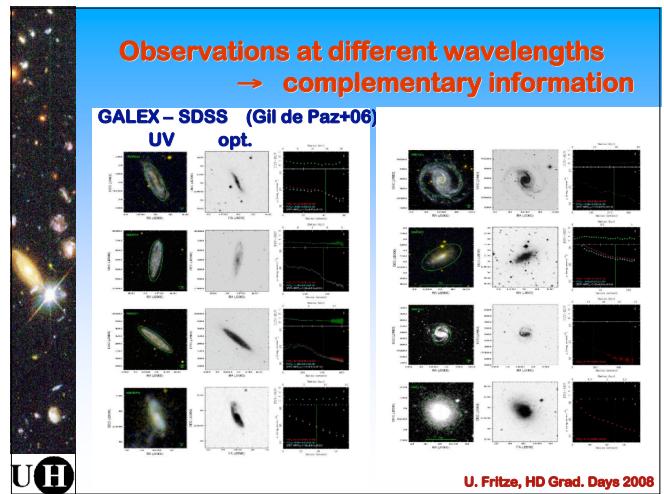
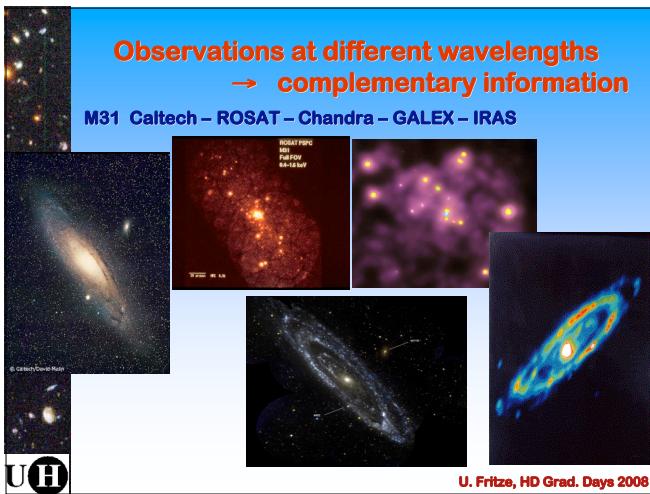







Spiral galaxy M81

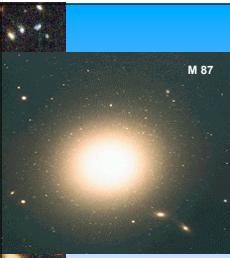
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Local Galaxies

Normal (= big) galaxies : **Ellipticals**
no HI, no molecular gas, no SF

But :
extended > 400 kpc
X-ray halos
gas $10^6 \dots 7$ K
 $\sim 5\%$ M_{tot}



NGC 2300 group
white: optical, pink: X-rays

If X-ray gas is bound → mass estimate : Dark Matter !
wide range in mass : $10^9 \dots 10^{14} M_{\odot}$
 $10 \leq M/L \leq 100$ (in solar units [M_{\odot}/L_{\odot}])

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Local Galaxies

Normal (= big) galaxies : **Ellipticals**
no/slow rotation (big/dwarf Es)
dynamically hot : high stellar velocity dispersion $\sigma \gg v_{\text{rot}}$
velocity dispersion $\sigma :=$ rel. veloc. of stars against each other

extended systems of Globular Clusters < 200 kpc,
(0 - few in dEs, 100 - 1000 in Es, 10,000 in cDs)
gradients in colour & metallicity

1. boxiness, counter-rotating cores, ripples & shells in ~ 50% Es,
2. circumnuclear molecular gas & SF in some Es,
→ past/recent interaction

→ Initial Collapse vs. hierarchical accretion scenarios for formation of elliptical galaxies

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Local Galaxies

Normal (= big) galaxies : **Spirals** Sa, Sb, Sc, Sd
B/D light ratio ↗ for Sa . . . Sd

narrow range in luminosities
 $-21 \leq M_B[\text{mag}] \leq -16$

bulge + disk + halo
B D H

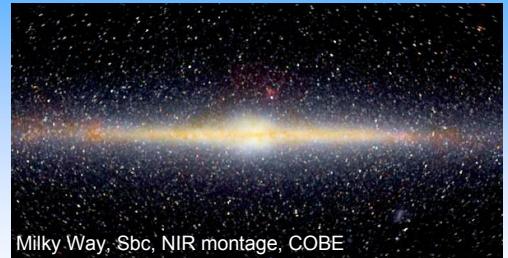
Bulge : spheroidal E0 - E4, old (+young ?) stars
Disk : stars, gas, dust, SF, young star clusters
Halo : old metal-poor stars & old Globular Clusters



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Local Galaxies

The Milky Way : Sbc



Milky Way, Sbc, NIR montage, COBE

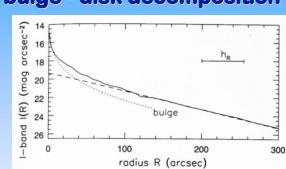
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Local Galaxies

Normal (= big) galaxies : **Spirals** Sa, Sb, Sc, Sd



bulge - disk decomposition



Stellar disks : exponential luminosity profile
 $\mu(r) = \mu_0 \exp(-r/r_0)$ (Freeman 70)

μ_0 : central surf. brightness $\sim 145 L_{\odot}/\text{pc}^2$ narrow range !
 r_0 : scale length $\sim 2 \dots 5$ kpc

Stellar disks end @ Holmberg radius R_H : $R_H \sim 5 r_0$
 $\mu(R_H) = 26.5 \text{ mag arc sec}^{-2} \sim (1 - 2)\%$ night sky

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Local Galaxies

Normal (= big) galaxies : **Spirals** Sa, Sb, Sc, Sd

disks dynamically cold : $\sigma \ll v_{\text{rot}}$
subject to instabilities
→ bar formation



H I : neutral hydrogen gas
H II : ionized hydrogen gas

H I disks 3 × more extended than stellar disks
molecular gas (thin disk) CO
dust disks 1.5 × more extended than stellar disks

SF ongoing in disk/spiral arms
SF more extended than stellar disk
(Hα: Ferguson+98, van Zee+98, GALEX-UV: Thilker+07)

→ Stellar disks grow from inside out

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Local Galaxies

Ferguson+98 : H α emission from HII regions (\rightarrow star formation) beyond the optical radius in spirals

Hot gas : HII : emission lines
trace physical & chemical conditions
 N_e , T_e abundances

H : Lyman, Balmer, Paschen,
UV opt. NIR series
H α , H β , H γ , H δ , ...

lines from all elements &
ionisation stages

also forbidden* lines, e.g. [OII]

$F(H\alpha)$ [erg/s] $\sim SFR_o$ [M_\odot/yr]

* collisionnally de-excited
under terrestrial conditions,
but not in Hyper-UHV in space

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Local Galaxies

Thilker+07, Gil de Paz+05 : Galex UV emission from hot young stars beyond the optical radius in spirals

E.g. NGC 4625
Galex: FUV deep B-band

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**Observations at different wavelengths
→ the GALEX Surprise**

GALEX – SDSS (Gil de Paz+06)

Some galaxies appear much more extended in the UV than in the optical, HI, and H α .

Star formation extends far beyond the optical disk !
(= far beyond where the stars are)

→ inside out formation of disks but :

Reservoir ???

HII regions ??? (but see Ferguson+04)

IMF ??? (cf. Kotulla & Fritze, in prep.)

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**Observations at different wavelengths
→ the GALEX Surprise**

GALEX – SDSS (Gil de Paz+06)

Some galaxies are more extended in the UV than in the optical.

Star formation extends far beyond the optical disk !
→ inside out formation of disks but :

Reservoir ?

HII regions ?

IMF ??? (cf. I)

Arp 78
H α (WIYN) on top of GALEX UV

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Local Galaxies

Normal (= big) galaxies : Spirals Sa, Sb, Sc, Sd
differential rotation

120 km/s $\leq v_{\text{rot}} \leq$ 240 km/s
rotational velocity → total
(= dynamical) mass

rotation curves → Dark Matter halos

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Local Galaxies

Normal (= big) galaxies : Spirals Sa, Sb, Sc, Sd

disks : gradients in
- color
- extinction
- metallicity
- stellar age

difficult to disentangle

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Spiral Galaxies

Spirals Sa, Sb, Sc, Sd:

Masses within $1 R_H$: $9 \cdot 10^{11} \dots 3 \cdot 10^{10} M_\odot$

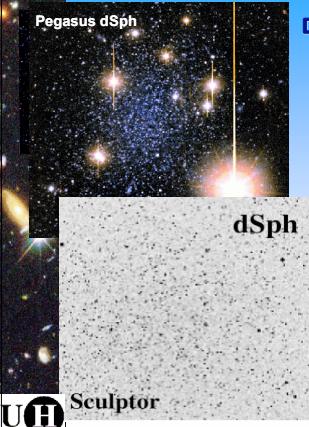
Sa	Sb	Sc	Sd
SFRo(Sa) < SFRo(Sb) < SFRo(Sc) < SFRo(Sd)			
G/(S+G)(Sa) < G/(S+G)(Sb) < G/(S+G)(Sc) < G/(S+G)(Sd)			
~0.05	~0.15	~0.3	>0.5
Z(Sa) > Z(Sb) > Z(Sc) > Z(Sd)			
(B-V)(Sa) > (B-V)(Sb) > (B-V)(Sc) > (B-V)(Sd)			
M/L(Sa) > M/L(Sb) > M/L(Sc) > M/L(Sd)			
~6.2	~4.4	~2.6	(Rubin+82)

MW : $M_B = -19.4$ mag, M31: $M_B = -20.5$ mag,
LMC: $M_B = -18.1$ mag, SMC: $M_B = -16.6$ mag

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Galaxies beyond the Hubble Sequence



Pegasus dSph

dwarf galaxies : dE, dSph, dI
M32, NGC 205 - LMC, SMC
dE : mini-E $10^7 \dots 10^9 M_\odot$
red, old, metal-poor

dSph : mini-S0
red, ?old?, ?metal-poor?

dI : irregular : HI, CO, SF
blue, young stars
low metallicity

dwarf galaxies : by far the dominant population of galaxies (by number) -- in particular in clusters

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Galaxies beyond the Hubble Sequence

Low surface brightness galaxies : Es, disks, dwarfs
parallel sequence to Hubble sequence

LSB Es, dEs : old stellar pops, no gas (diff. to detect)
Sagittarius, Canis Major

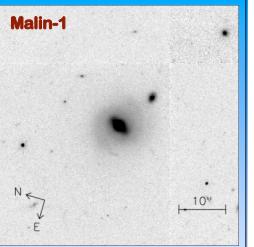
LSB disks and irregulars : very gas-rich, low SFR,
Malin-1 young stellar pops, low metallicity
? old stellar component ?
? formation epoch ?
? late formation or slow evolution ?
? why ?

in isolation or low gal. dens. environm. !

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Galaxies beyond the Hubble Sequence



Malin-1

Low surface brightness galaxies
parallel sequence to Hubble

LSB Es, dEs : old stellar pops, no gas (diff. to detect)
Sagittarius, Canis Major

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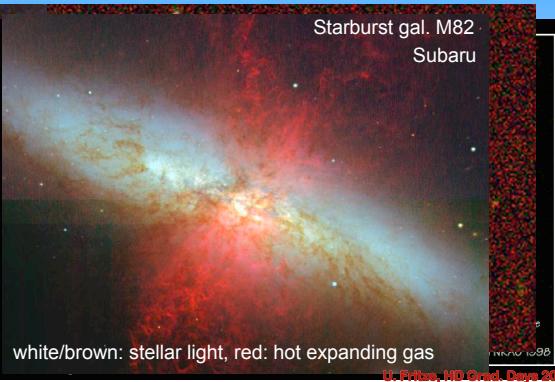
in isolation or low gal. dens. environm. !

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Galaxies beyond the Hubble Sequence

Starbursts, Seyfert galaxies, radio galaxies, quasars



Starburst gal. M82
Subaru

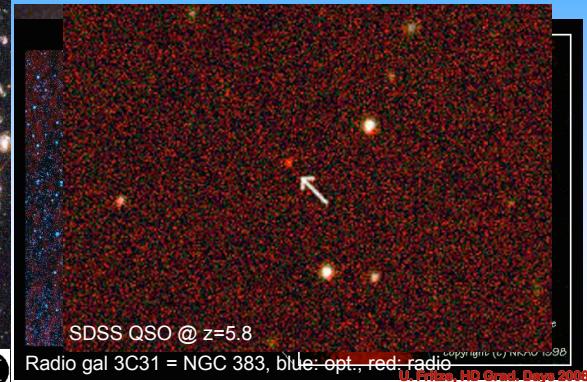
white/brown: stellar light, red: hot expanding gas

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Galaxies beyond the Hubble Sequence

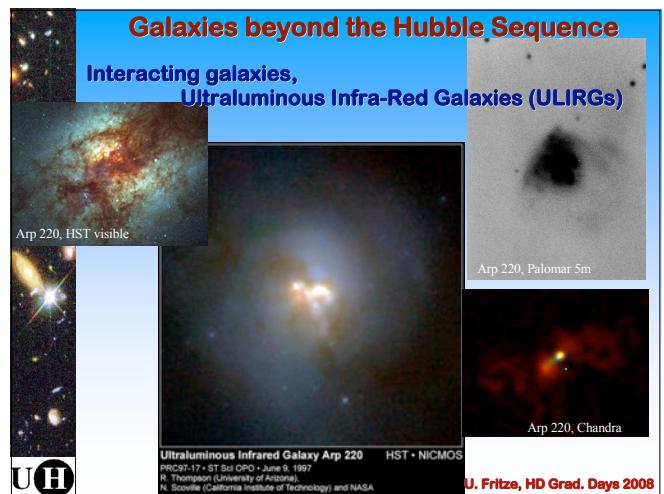
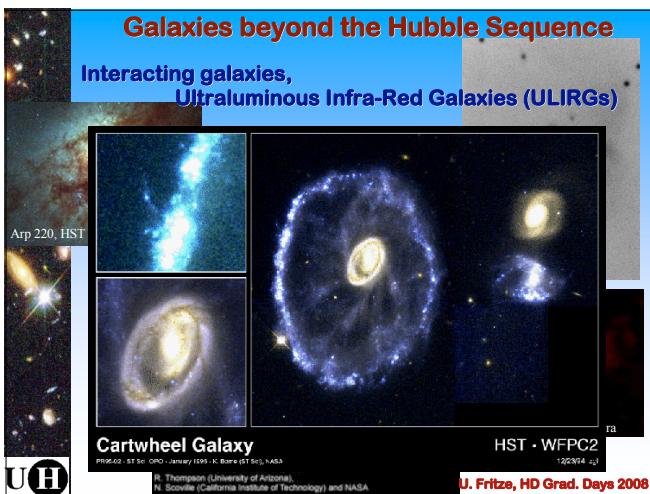
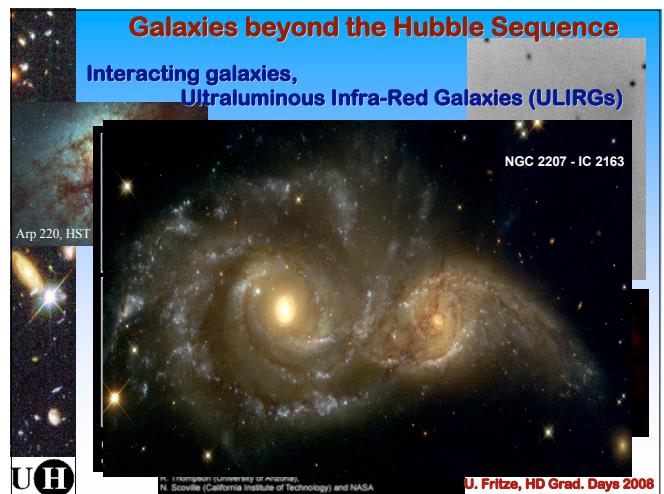
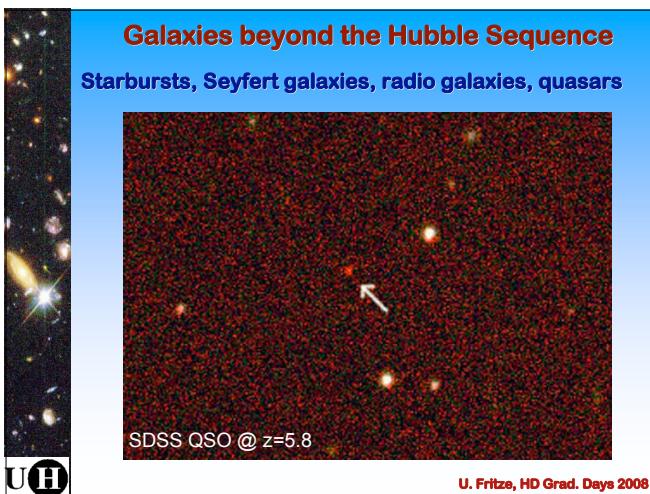
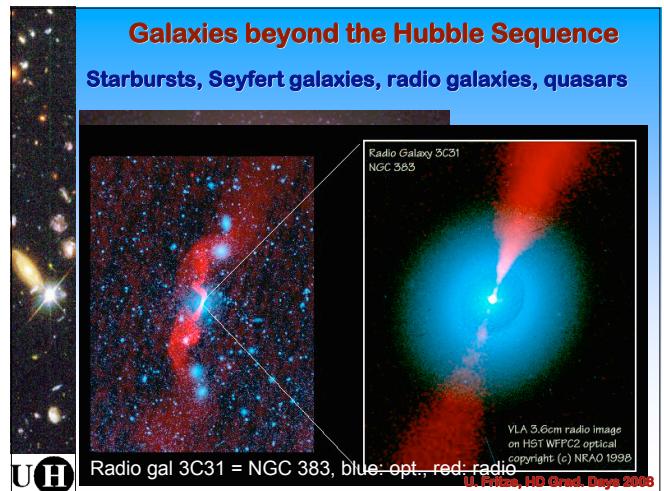
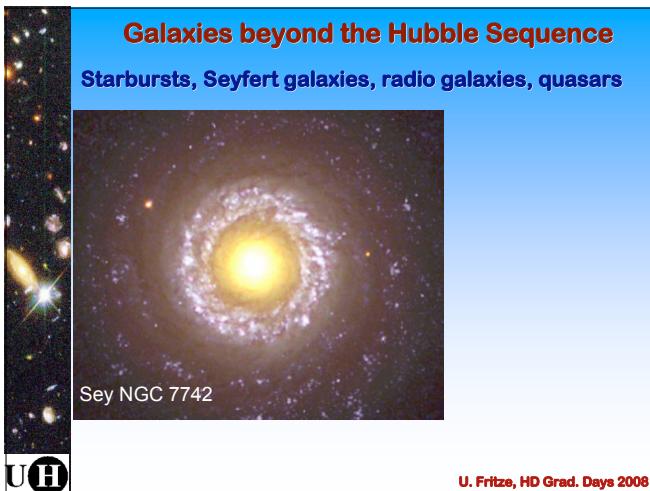
Starbursts, Seyfert galaxies, radio galaxies, quasars



SDSS QSO @ $z=5.8$
Radio gal 3C31 = NGC 383, blue-opt., red-radio

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Splendid manifold of local galaxies :

- ? How & when did they form & how did they evolve ?
- ? nature vs. nurture ?

2 approaches :

- study distant galaxies : identify progenitors
high redshift
- study nearby galaxies in detail : traces of their history
astro-archeology

Observations = snapshots

Evolution of galaxies ↔ numerical models

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3 Aspects of Galaxy Evolution

Originally modelled one by one independently.
Now attempting to couple consistently : **GALEV models***

S : formation & evolution of stars +/- gas +/- dust
C : formation & nucleosynthesis of stars; infall/outflow of gas
D : internal & external gravitation, stars + gas + DM

* 2003 Hertha-Sponer Research award DPG
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Local Galaxies

Fundamental relations for Ellipticals & dEs :

- Color – magnitude relation : brighter Es are redder
- Luminosity – metallicity relation : brighter Es are more metal-rich
- Faber – Jackson relation : central velocity dispersion increases with luminosity
→ distance determination
- Kormendy's relations : brighter Es have large effective radii
brighter Es have lower (average) surface brightness
- Sphs (and GCs) do not follow Kormendy's relations
- Fundamental Plane relations : effective radius – surface brightness – luminosity – central velocity dispersion
→ M/L increases with L

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Local Galaxies

Fundamental relations for Ellipticals & dEs :

Color – magnitude relation : brighter Es are redder
(de Vaucouleurs 61, 72, fffff)

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Local Galaxies

Fundamental relations for Ellipticals & dEs :

Luminosity – metallicity relation : brighter Es are more metal-rich*

Vader 86,
Bica & Alloin 87,
Skillman 89

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Local Galaxies

* how to measure stellar abundances/metallicities in ellipticals/S0s/star clusters:
from absorption lines !

The stronger the absorption line of a given atom or molecule in a stellar spectrum, the more of it must be in the stellar photosphere (c.f. ionization corrections, etc.).

In integrated spectra of galaxies it's more complicated: luminosity weighted mean stellar abundances/metallicities

~ 20 Lick indices, measured in extenso in stellar spectra with dependencies on [Fe/H]*, Teff and surface gravity studied in detail (e.g. Mg2, Mgb, NaD, Fe52, ..., TiO, ...)

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Local Galaxies

* how to measure stellar abundances/metallicities in from absorption lines !

Fig. 32. Portion of the solar spectrum in the neighbourhood of the sodium D-lines. Adapted from L. Delbouille, G. Roland & L. Neven, *Spectrophotometric Atlas of the Solar Spectrum*, Liège, 1973.

Fig. 33. A very strong absorption line of Mg I in the solar spectrum, dominated by damping wings. Adapted from the Liège Atlas (Delbouille et al. 1973).

* what the hell is [Fe/H] ?

[Fe/H] : Log stellar metallicity or (luminosity weighted mean) metallicity of a stellar population

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Gaseous & stellar metallicities

- Metallicity: chemical composition
metallicity $Z :=$ mass fraction of everything $> {}^4\text{He}$
solar (photospheric) metallicity $Z_{\odot} = 0.02$
metallicity dominated by O, ..., C, ..., N, ..., Fe, ...

Metallicity of the stellar population : $[\text{Fe}/\text{H}]$
luminosity-weighted stellar metallicity from stellar absorption lines in the integrated spectrum

$[\text{Fe}/\text{H}] := \log (X_{\text{Fe}}/X_{\text{H}}) - \log (X_{\text{Fe}}/X_{\text{H}})_{\odot}$

$X_i :=$ mass fraction of element i

$[\text{Fe}/\text{H}] = 0$: solar
$[\text{Fe}/\text{H}] = -1$: 1/10 solar
$[\text{Fe}/\text{H}] = -2$: 1/100 solar
$[\text{Fe}/\text{H}] = +0.4$: 2.5 solar

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Stellar & Gaseous Metallicities

Metallicity of the Inter Stellar Medium (= ISM): Z measured from HII region emission lines (!), expressed in terms of

$$12 + \log (\text{O/H})$$

$\text{O/H} := N_{\text{O}}/N_{\text{H}}$ number(!) densities

Transformation into [O/H] requires mass number

$12 + \log (\text{O/H}) = 8.9$	for solar abundance Z_{\odot}
$12 + \log (\text{C/H}) = 8.6$	for Z_{\odot}
$12 + \log (\text{Mg/H}) = 7.6$	for Z_{\odot}
.....	
$12 + \log (\text{O/H}) = 7.9$	for 1/10 Z_{\odot}

Solar photospheric abundances
→ B. Pagel : Nucleosynthesis & Chem. Evol. of Galaxies

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Local Galaxies

Fundamental relations for Ellipticals & dEs :

Faber – Jackson relation : central velocity dispersion* (Faber & Jackson 76) increases with luminosity

→ distance / mass determination

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Local Galaxies

How to measure velocity dispersion*

E.g. elliptical galaxy : integrated spectrum of all its 2 - 2000 billion stars
~ 2 - 2000 billion × K-star spectrum

K-star spectrum : absorption lines with intrinsic width

Elliptical galaxy spectrum : absorption lines are broadened by stellar velocity dispersion (= random motions of stars)

Comparison of galaxy spectrum with star spectrum → stellar velocity dispersion

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Local Galaxies

Fundamental relations for Ellipticals & dEs :

Faber – Jackson relation : central velocity dispersion increases with luminosity (Faber & Jackson 76)

→ distance / mass determination

$L_v \sim \sigma^4$, σ : central veloc. disp.

$$M_r \sim \sigma^4 / (\rho, r)$$

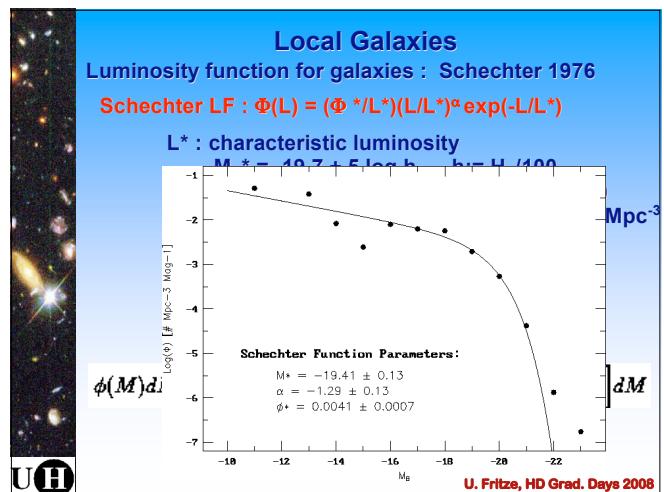
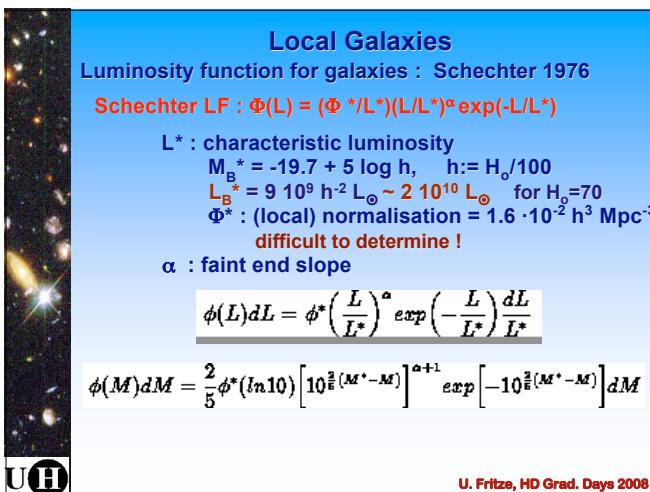
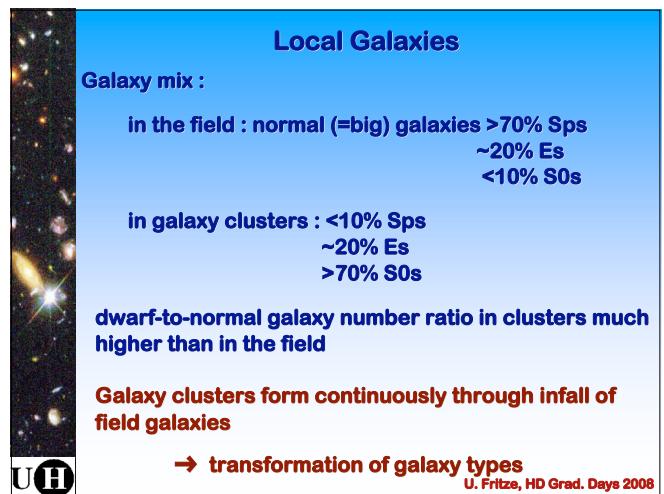
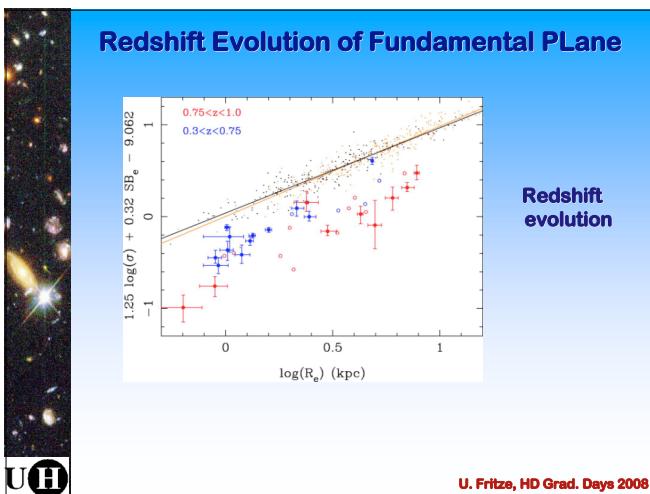
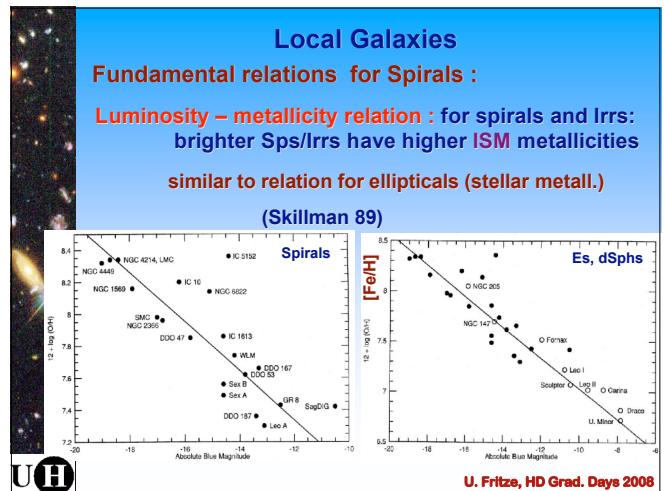
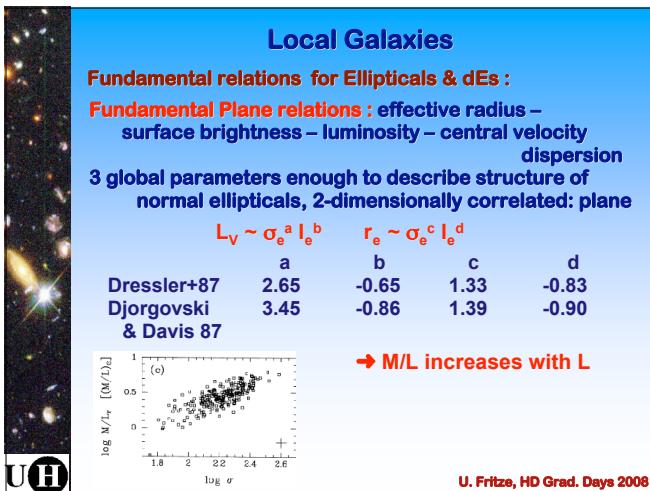
→ M/L increases with L

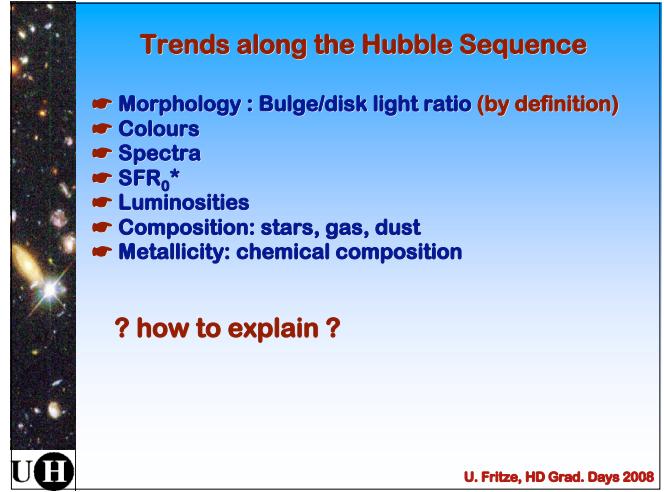
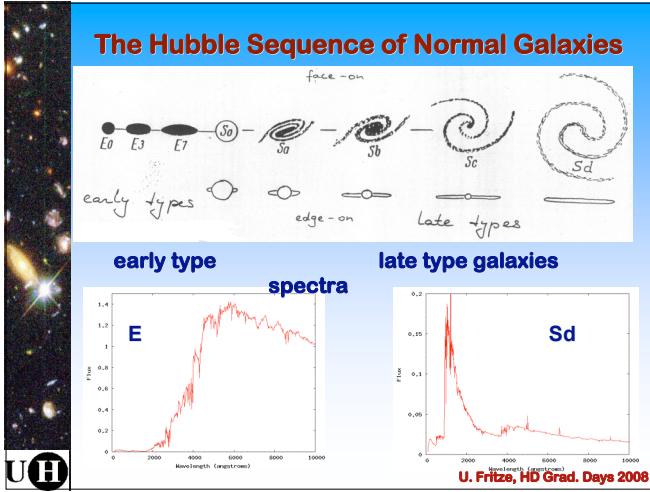
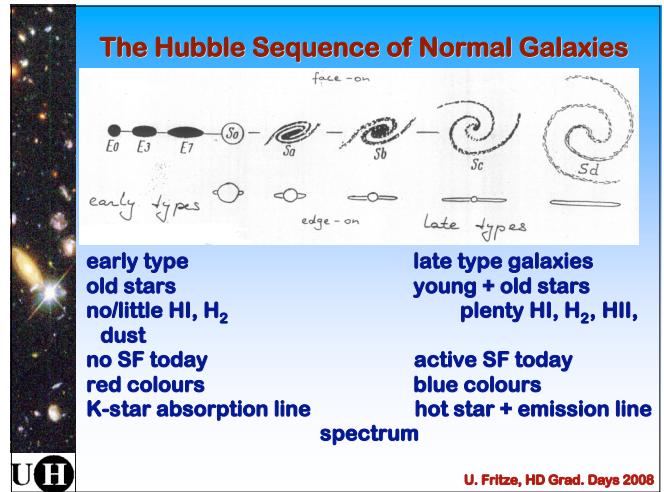
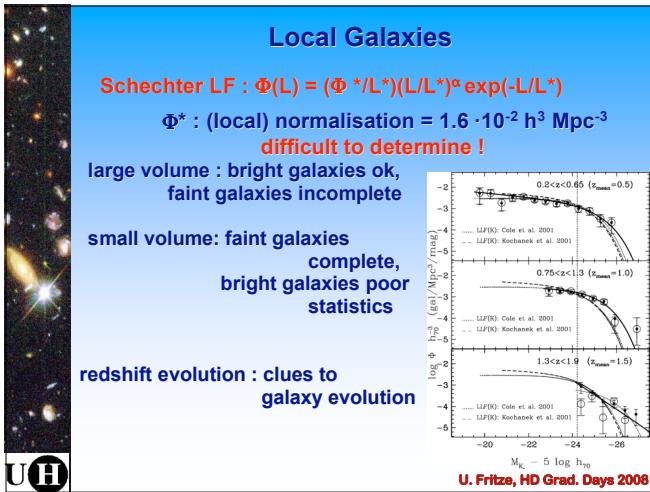
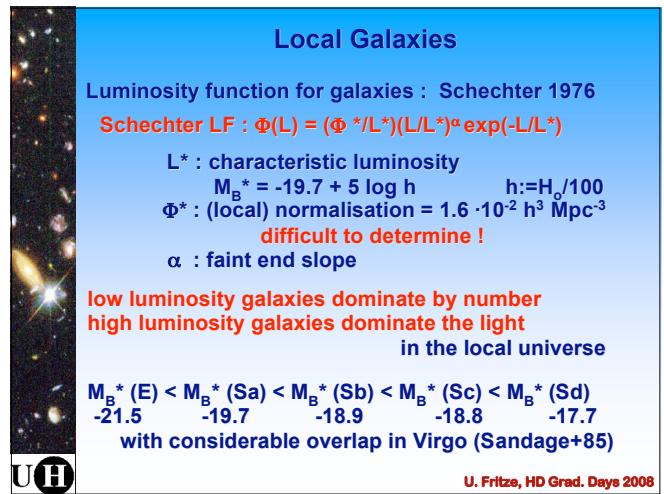
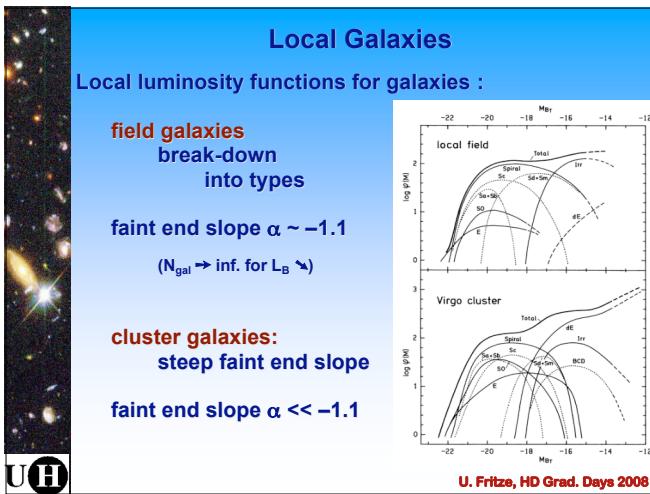
luminous Es have older stellar populations and/or more Dark Matter

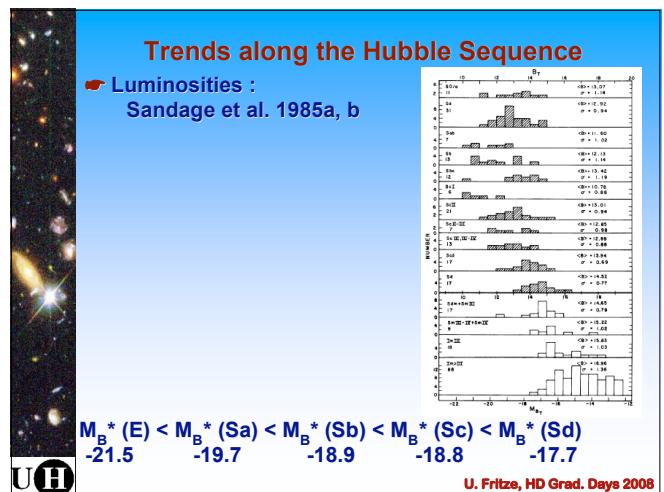
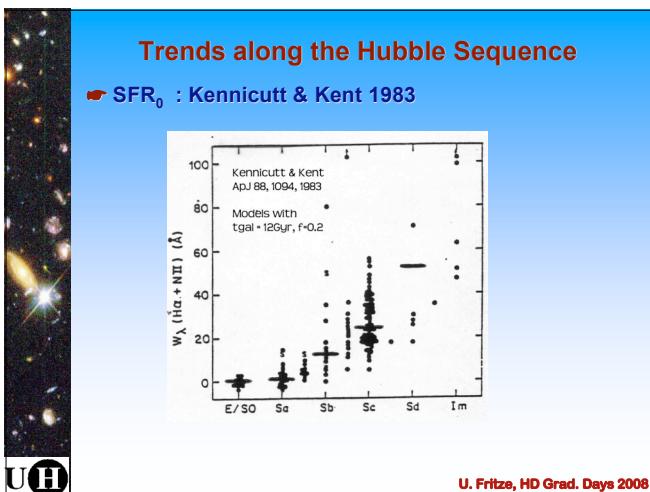
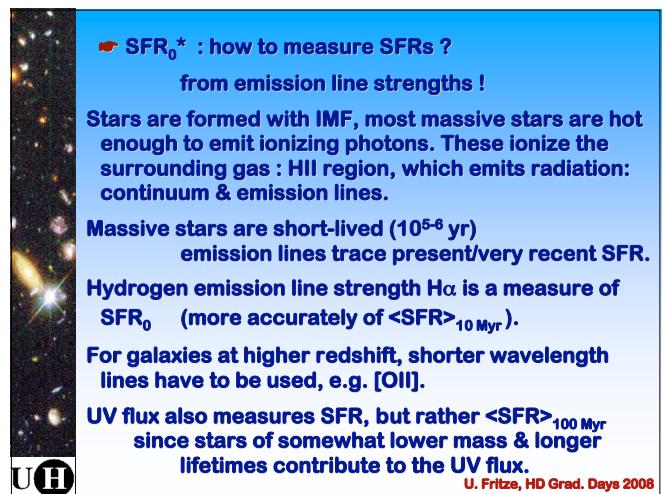
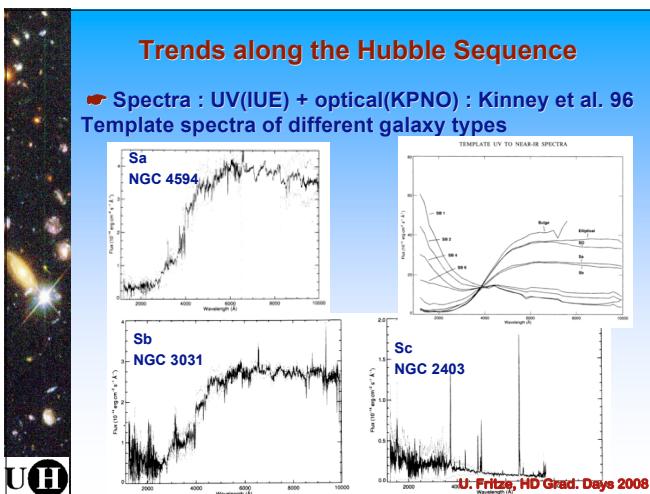
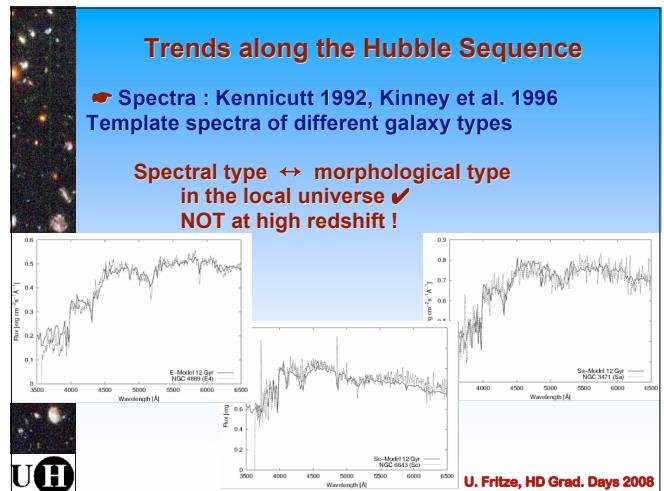
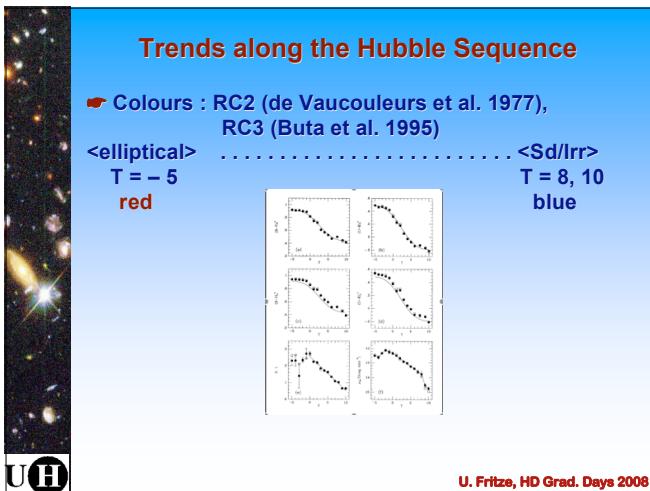
$\varepsilon \sim 4$ for bright gals
 $\varepsilon \sim 3.2$ for faint gals
 $\varepsilon \sim 5.4$ for dD gals

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Trends along the Hubble Sequence

- Metallicity: Zaritsky et al. 1994
trend of HI region metallicities among spiral types
- radial gradients in HI abundances across disks
→ compare metallicities at $1 R_e$

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Trends along the Hubble Sequence

- Morphology : Bulge/disk light ratio
- Colours
- Spectra
- SFR_0
- Luminosities
- Composition: stars, gas, dust
- Metallicities: chemical composition

All trends can be explained by differences in the Star Formation Histories (= SFHs)

SFR = Star Formation Rate; SFH = SFR(t)
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Trends along the Hubble Sequence

All trends can be explained by differences in the Star Formation Histories (= SFHs)

SFR = Star Formation Rate; SFH = SFR(t)
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The Star Formation History of the Milky Way Sbc galaxy

Rocha-Pinto+2000, Rocha-Pinto & Maciel 1997, 1998
from individual star age and metallicity determinations

Fig. 2. Comparison between the metallicity distributions for K dwarfs (thin work) and G dwarfs (RP98).

Decrease by factor ~4 since the beginning + short-term (10^8 yr) fluctuations by factor < 2 around simple model SFH

Broad (>factor 10) stellar metallicity distribution $\langle [Fe/H] \rangle < 0$ subsolar
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